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jc869 U.S. PTO
09/735173

12/12/00

December 12, 2000

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Re: Continuation of U.S. Serial No. 09/151,893
Title: *Bispecific Molecules For Use In Inducing Antibody Dependent Effector
Cell-Mediated Cytotoxicity (As Amended)*
Filed: Herewith
By: Edward D. Ball and Michael W. Fanger
Examiner: G. Bansal, Art Unit 1642
Attorney Docket No.: MXI-026DVCN2

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Divisional-Continuation Application Transmittal Form (3 Pages);
2. Copy of Patent Application (16 Pages) w/Figures 1-4 (4 Pages);
3. Copy of Executed Declaration/Power of Attorney (5 Pages);
4. Copy of Executed Verified Statement Claiming Small Entity Status (1 Page);
5. Copy of Executed/Recorded Assignment (4 Pages);
6. Seven (7) Sheets of Formal Drawings (Figures 1-4);
7. Preliminary Amendment (8 Pages);
8. Check for \$355.00 (Filing Fee); and
9. Acknowledgement Postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

Express Mail" mailing label number EL178767756US

Date of Deposit December 12, 2000

I hereby certify that this paper or fee is being deposited with the
United States Postal Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10 on the date indicated above
and is addressed to the Commissioner of Patents and Trademarks,
Box Patent Application, Washington, DC 20231

Viriato G. Cardoso
Signature

Viriato G. Cardoso

LAHIVE & COCKFIELD
Attorneys at Law

By *Jane E. Remillard*
Jane E. Remillard
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Customer Number: 000959

DIVISIONAL-CONTINUATION APPLICATION TRANSFERRAL INITIAL FORM
UNDER RULE 1.53(b) (former Rule 1.60)

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION SERIAL NUMBER: 09/151,893	PRIOR APPLICATION FILING DATE: SEPTEMBER 11, 1998
MXI-026DVCN2	CLASS:	SUBCLASS:	EXAMINER: G. BANSAL	ART UNIT: 1642

ASSISTANT COMMISSIONER FOR PATENTS
 BOX PATENT APPLICATION
 WASHINGTON, DC 20231

CERTIFICATION UNDER 37 CFR 1.10

Date of Deposit: December 12, 2000Mailing Label Number: EL78767756US

I hereby certify that this 37 CFR 1.53(b) request and the documents referred to as attached therein are being deposited with the United States Postal Service on the date indicated above in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Viriato G. Cardoso
 Name of Person Mailing Paper

Viriato G. Cardoso
 Signature of Person Mailing Paper

Dear Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. 09/151,893 filed on September 11, 1998, of Edward D. Ball et al entitled BISPECIFIC MOLECULES FOR USE IN INDUCING ANTIBODY DEPENDENT EFFECTOR CELL-MEDIATED CYTOTOXICITY (AS AMENDED) which is a continuation application of serial no. 08/451,194 (U.S. Patent No. 5,833,985) filed on May 26, 1995 which in turn is a divisional application of serial no. 08/207,344 filed on March 7, 1994.

1. ☒ Enclosed is a copy of the latest inventor signed application, including the oath or declaration as originally filed. The copy of the enclosed papers is as follows:

- ☒ 12 page(s) of specification
☒ 3 page(s) of claims
☒ 1 page(s) of abstract
☒ 4 sheet(s) of drawing
☒ 5 page(s) of declaration and power of attorney.

I hereby verify that the attached papers are a true copy of the prior complete application serial no. 09/151,893 as originally filed on September 11, 1998.

2. ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27, a copy of which is enclosed, was filed in the prior application and such status is still proper and desired (37 CFR 1.28(a)).
3. ☒ The filing fee is calculated below:

	NUMBER OF CLAIMS FILED			NUMBER EXTRA
TOTAL	* 10	MINUS	** 20	= 0
INDEP.	* 3	MINUS	*** 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				

SMALL ENTITY	
RATE	FEE
x 9 =	\$0.00
x 40 =	\$0.00
+135 =	\$0.00
BASIC FEE	\$355.00
TOTAL	\$355.00

OTHER THAN A SMALL ENTITY	
RATE	FEE
x 18 =	\$0.00
x 80 =	\$0.00
+ 270 =	\$0.00
BASIC FEE	\$0.00
TOTAL	\$0.00

OR

OR

4. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.
5. ☒ A check in the amount of \$355.00 is enclosed for payment of the filing fee.
6. ☒ ~~Cancel~~ in this application original claims 2-24 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
7. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claims in the prior application.)
8. ☒ ~~Amend~~ the specification by ~~inserting~~ before the first line the sentences: "This application is a continuation application of serial no. 09/151,893 filed on September 11, 1998, (Pending), which is a continuation application of serial no. 08/451,194 filed on May 26, 1995, (Patented) (U.S. Patent No. 5,833,985), which in turn is a divisional application of serial no. 08/207,344 filed on March 7, 1994, (Abandoned). The contents of all of the aforementioned application(s) are hereby incorporated by reference."
-
9. ☐ Please abandon said prior application as of the filing date accorded this application. A duplicate copy of this transmittal is enclosed for filing in the prior application file. (May be used if signed by person authorized by §1.138 and before payment of base issue fee.)
10. ☒ New formal drawings are enclosed.
11. ☐ Priority of application serial no. _____ filed on _____ in _____ is claimed under 35 U.S.C. §119.
- ☐ The certified copy has been filed in prior application serial no. _____ filed on _____.
- ☐ The certified copy will follow.
12. ☒ The prior application is assigned of record to Medarex, Inc..
13. ☐ A ___ month extension of time has been submitted in the parent application Serial No. _____ in order to establish copendency with the present application. (*copy enclosed*)
14. ☒ Also enclosed is/are Copy of Executed Verified Small Entity Statement; and Seven (7) Sheets of Formal Drawings (Figures 1-4); and Copy of Executed/Recorded Assignment Document (4 pgs.).
15. ☒ The power of attorney in the prior application is to Lahive & Cockfield.
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ A new power has been executed and is attached.
16. ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to Giulio A. DeConti, Jr. at Customer Number: 000959 whose address is:

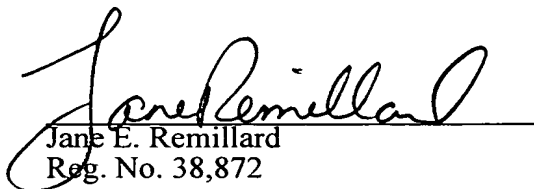
Lahive & Cockfield, LLP
28 State Street
Boston, Massachusetts 02109

17. ☒ Any requests for extension of time necessary in a parent application for establishing copendency between this application and a parent application are hereby requested and the Commissioner is authorized to charge any fee associated with such an extension to Deposit Account No. 12-0080.
18. ☐ Pursuant to 37 CFR 1.821(e), the computer readable form of the sequence listing for this new application is to be identical with the computer readable form of application serial no. _____. Please use the computer readable form of application serial no. _____ in lieu of filing a duplicate computer readable form in this application. Pursuant to 37 CFR 1.821(f), the content of the paper copy of the sequence listing for this new application and the computer readable form of application serial no. _____ are the same.

December 12, 2000

Date

LAHIVE & COCKFIELD, LLP
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Jane E. Remillard
Reg. No. 38,872

- ☐ inventor(s) ☐ filed under §1.34(a)
☐ assignee of complete interest
☒ attorney or agent of record